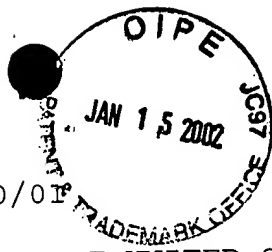


Rev. 10/01



2162#3
5F
CF-24 04.2201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew H. Pritchard
Application No.: 09/927,628 Confirmation No.: 5363
Filed : August 10, 2001
For : SYSTEMS AND METHODS FOR DEVELOPING AND
ADMINISTERING INVESTMENT TRUSTS
Group Art Unit : 2162
Examiner : Not Yet Assigned

RECEIVED

JAN 23 2002

Technology Center 2100

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

New York, New York
January 8, 2002

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information
Disclosure Statement in the above-identified application.

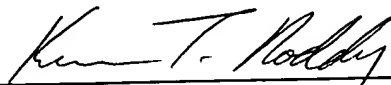
This Statement is submitted:

- [] within three months of the application filing
date;
- [X] more than three months from the application
filing date but before the mailing date of
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Supplemental Information Disclosure Statement to Deposit

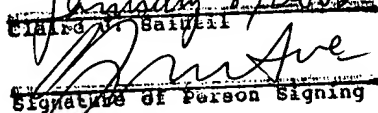
Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Kevin T. Roddy
Registration No. P-50,577
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I hereby certify that this
correspondence is being
deposited with the U.S.
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Arlington, VA 22202-0000

January 8, 2002
Charles R. Bailey

Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-24APPLICATION NO.
09/927,628APPLICANT
Andrew H. PritchardCONFIRMATION NO.
5363FILING DATE
August 10, 2001GROUP
2162SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,088,685	07/11/2000	Kiron et al.	705	36	

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.